

To : GF Securities (Hong Kong) Brokerage Limited  
广发证券（香港）经纪有限公司

Please complete and return to:-  
GF Securities (Hong Kong) Brokerage Limited  
29-30/F, Li Po Chun Chambers, 189 Des Voeux Road Central,  
Hong Kong (Attn: Customer Service Department)  
请填写并送回:-  
广发证券（香港）经纪有限公司  
香港德辅道中 189 号李宝椿大厦 29 及 30 楼（客服部收）

**Declaration of Group of Connected Margin Clients**  
**关联保证金客户组别声明**  
**(For Individual / Joint Margin Account Only 适用于个人 / 联名保证金客户)**

Name of Account 账户名称	Margin Account No. 保证金账户号码

In compliance with the Guidelines for Securities Margin Financing Activities issued by the Securities and Futures Commission in April 2019 relating "Group of Connected Margin Clients", I/we hereby declare and confirm to GF Securities (Hong Kong) Brokerage Limited (the "Company"/ "GFSHK") that:

为了遵守及符合证券及期货事务监察委员会于2019年4月发布之《证券保证金融资活动指引》中有关「关联保证金客户组别」之事宜，本人特此向广发证券（香港）经纪有限公司（“贵公司”/“广发证券香港”）声明及确认：

(Please "✓" where appropriate 请在适当地方加上“✓”号)

- I am the spouse of another margin client of the Company

本人是贵公司的另一保证金客户的配偶：

No 否

Yes 是 Name of Spouse: \_\_\_\_\_ Account No.: \_\_\_\_\_  
配偶姓名 账户号码

- I am in control, either alone or with my spouse, of 35% or more of the voting rights of another margin client(s) of the Company:

本人是单独或与本人的配偶共同控制贵公司另一保证金客户百份之三十五或以上的投票权：

No 否

Yes, details as below 是，详情如下:

	Account No. 账户号码	Name of Account Holder 账户名称	Relationship with Account Holder 与账户持有人关系
1.			
2.			

- I am with other individual margin client(s) of GFSHK (other than my spouse) to act on behalf of the same third party where the third party is the beneficial owner of below account(s), or stands to gain the benefit or bear the risk of the transactions in below account(s) :

本人与其他广发证券香港的个人保证金客户（配偶除外）同时代表同一第三方行事，而该名第三方则是下述账户的实益拥有人，或将从下述账户交易中取得利益或承担风险：

No 否

Yes, details as below 是，详情如下:

	Account No. 账户号码	Name of Account Holder 账户名称
1.		
2.		

If the third party being a margin client of GFSHK 如該第三方是广发证券香港保证金客户：

Account No 账户号码 : \_\_\_\_\_ Name of Account Holder 账户名称.: \_\_\_\_\_

- I act through other individual margin client(s) of GFSHK (other than my spouse) where I am the beneficial owner of the below other account(s), or stand to gain the benefit or bear the risk of the transactions in the below other account(s):  
本人透过其他广发证券香港的个人保证金客户（配偶除外）行事，而本人则是下述其他账户的实益拥有人，或将从下述其他账户交易中取得利益或承担风险：

No 否

Yes, details as below 是，详情如下：

	<b>Account No.</b> 账户号码	<b>Name of Account Holder</b> 账户名称
1.		
2.		

- I am financially connected with other margin client(s) of GFSHK by guarantee arrangements (for instance, the financial liabilities of the other margin client(s) are guaranteed by me, or vice versa, or the financial liabilities of other margin client(s) and me are guaranteed by the same guarantor who is not a margin client of GFSHK, etc.):

本人与其他广发证券香港的保证金客户藉着担保安排而在财务上有关联（例如，本人向其他保证金客户的财务负债提供担保，或反之亦然，或本人与其他保证金客户的财务负债由同一非广发证券香港保证金客户的担保人担保等）：

No 否

Yes, details as below 是，详情如下：

	<b>Account No.</b> 账户号码	<b>Name of Account Holder</b> 账户名称
1.		
2.		

I further declare that the information provided above is complete and accurate. I shall inform the Company of any changes to any information therein from time to time in writing if the relevant change(s) has / have occurred.

此外，本人声明以上所提供之资料均属完整及正确。倘若该资料有任何转变，本人将以书面通知贵公司有关转变。

\_\_\_\_\_  
Client's Signature

客户签署

\_\_\_\_\_  
Date:

日期

For Internal Use Only 只供内部使用				
Verification	Signature Verified	Inputted	Checked	Approved (if necessary)
Signature/Date				