



廣發証券(香港)經紀有限公司

GF SECURITIES (HONG KONG) BROKERAGE LIMITED

SUBSCRIPTION FORM – INVESTMENT FUND

TO: GF SECURITIES (HONG KONG) BROKERAGE LIMITED ("THE COMPANY")

Client Particulars * "✓" where appropriate					
Client Name				Account Number	
<input type="checkbox"/>	*Business Registration No.	<input type="checkbox"/>	ID No.	<input type="checkbox"/>	Other Identity No.

Order Details * "✓" where appropriate					
Please act on my / our behalf to execute the SUBSCRIPTION order of following fund(s) ("the fund"):					
Name of the Fund (ISIN)	Product Risk Level	Unauthorized Fund ¹ (if applicable, leave blank otherwise)	Currency	Subscription Amount	Front End Fee (%)
		<input type="checkbox"/> Yes			
		<input type="checkbox"/> Yes			
		<input type="checkbox"/> Yes			
		<input type="checkbox"/> Yes			

Risk Profile (Only applicable for non-execution-only order) * "✓" where appropriate									
My/Our risk profile established under the Risk Profile Questionnaire ("RPQ") is (Please choose one from the following options) :									
<input type="checkbox"/>	1 – Conservative	<input type="checkbox"/>	2 – Moderate	<input type="checkbox"/>	3 – Balanced	<input type="checkbox"/>	4 – Growth	<input type="checkbox"/>	5 – Aggressive
<input type="checkbox"/>	I / We confirm that the risk profile established under the Risk Profile Questionnaire is appropriate and I/We confirm to apply it in this transaction.								

Disclosure of Product Sales Information	
^Please delete where inappropriate * "✓" where appropriate	
I/We confirm that I/We has been fully explained the Product's sales information as follows:	
1. Capacity	
GF Securities (Hong Kong) Brokerage Limited is acting as an/a*	<input type="checkbox"/> agent <input type="checkbox"/> principal to execute this transaction.
2. Disclosure of benefits (ONLY applicable when the Company is acting as an agent)	
i) The Company is entitled to receive the above mentioned front-end fee as the distributing remuneration.	
ii) The Company is entitled to receive other benefits including but not limited to sharing of management fee, performance fee and sponsorship fee from the product issuer, which are non-quantifiable at this stage.	
3. Discount of Fees and Charges	
The Company may offer a discount on fee and charges to applicants for the fund subscription from time to time in accordance with the terms and conditions in the applicable promotion material and the investment amount.	

Declaration by the Client
1. I/We understand that investment involves risk. Past performance is no guide to future performance. I/We understand that the price of funds and the income from them can fluctuate and are not guaranteed, it may incur losses and even become valueless. In particular, alternative investment/hedge funds use alternative investment strategies and the risks inherent in the fund are not typically encountered in traditional funds.
2. I/We understand and accept that the underlying asset of the Product is subject to risks, including but not limited to credit risk, market risk, liquidity risk, tax risk, currency risk, interest rate risk, legal risk, emerging market risk etc.
3. I/We understand the relevant fees, charges and expenses incurred from this instruction.
4. I/we hereby confirm that the risk disclosure statements have been provided in the language of my/our choice (English or Chinese); and I/we have been invited to read such risk disclosure statements, to ask questions and to take independent advice if I/we wish.

¹ Unauthorized fund is solely intended for a professional investor, as defined in the Securities and Futures Ordinance (Cap. 571) of Hong Kong (the "SFO") and any rules made under the SFO.

