

免責聲明

1. 本網站提供之提供金融市場的資料、報價、新聞、研究或其他資訊，包括圖形圖像（統稱「有關資訊」）的權利的產權，乃廣發證券(香港)經紀有限公司、廣發期貨(香港)有限公司、其控股公司、其附屬公司或關聯公司、或其控股公司的附屬公司或關聯公司（統稱「廣發集團」，各自稱為「廣發集團公司」）、其資訊提供者或其特許人之財產，並且受適用的版權及其他知識財產法律所保護。未經廣發集團事先書面同意，不可以任何形式對有關資訊進行下列行為：干擾、反匯編、修改、反向工程、複製、傳輸、傳播、出售、分發、出版、廣播、傳閱、儲存供日後使用或利用作商業用途。
2. 廣發集團、其資訊提供者或其特許人均不就本網站或有關資訊作出任何類別的任何聲明或保證，不論是明示或默示（按法規或其他規定作出）的聲明或保證。在法律許可的最大範圍內，廣發集團、其資訊提供者及其特許人茲排除及卸棄關於有關資訊的任何條件、聲明、保證或責任，不論是明示或默示的（法律或其他規定的），包括（但不限於）有關以下各項的任何該等條件、聲明、保證或責任：有關資訊的所有權、適合某一特定用途、可商售性或質量標準；有關資訊將是準確或沒有誤差或遺漏；有關資訊將按時間或先後次序提供；有關資訊將不會侵犯任何第三方的權利；有關資訊將於任何特定時間可供使用且不受干擾、沒有電腦病毒或符合任何特定的性能標準；或向本網站發出的任何指示或向本網站要求的有關資訊將於任何時間予以行事、交付或收到，或根本沒有如此行事、交付或收到。
3. 本網站提供的有關資訊是僅為參閱之用，不應該用以作出商業決定的根據或被視為購買、出售或以其他方式投資於任何特定證券的投資意見或建議。在任何有關資訊是由廣發集團公司擬備及來自廣發集團公司的限度內，廣發集團公司將致力確保該等有關資訊的準確性，但將不就任何人士依賴該等有關資訊行事或不行事而引致的任何損失或損害賠償承擔任何責任或法律責任。在沒有諮詢第一資訊來源且沒有獲得具體專業意見前，不應該依據經本網站收到的有關資訊及任何相關意見。廣發集團、其資訊提供者及其特許人不就因依賴有關資訊所採取或不採取的行動而直接或間接引致的任何損失或損害承擔任何法律責任。尤其是，廣發集團不保證經濟匯報資訊、材料或數據是準確、可靠或最新的。
4. 本網站提供連結的任何網站是僅為參閱之用，並未經廣發集團審核。廣發集團對與本網站連結的任何網站上可供使用的內容或該等網站的結構並不負責。接達及使用任何該等網站的相關風險概由閣下自行承擔，並須受適用於該等接達或使用的任何條款及條件規限。
5. 因閣下使用本網站而直接或間接引致的任何損失或損害（包括特殊、附帶或相應的損失或損害），不論是關於侵權、合同或其他方面的，包括由於（但不限於）本網站或其內

容的任何的缺陷、誤差、錯失、錯誤或不準確之處，或由於本網站或其任何部分或其任何內容無法供使用，廣發集團、其資訊提供者及其特許人均不就此負責。

6. 閣下從本網站下載任何軟件，有關的風險概由閣下自行承擔。對於因閣下使用本網站及／或從本網站下載軟件引致任何電腦病毒或類似破壞所導致的任何損失或損害，不論是如何造成，亦不論是直接或間接造成的，廣發集團、其資訊提供者及其特許人均不就此承擔法律責任或就此負責。

7. 廣發集團可不時終止或修改本網站提供之資料、產品或服務，而毋須事先知會閣下。

8. 本網站及其素材並不構成且不應被視為構成於任何司法權區買賣任何證券或以其他方式進行證券交易的要約或招攬，如果在上述司法權區向該等人士提出要約或招攬乃屬非法。

資料的提供

你在廣發證券(香港)經紀有限公司、廣發期貨(香港)有限公司、其控股公司、附屬公司或附屬成員及／或其控股公司的任何附屬公司或附屬成員（統稱「**廣發集團**」，各自稱為「**廣發集團公司**」）開立帳戶時，或在任何廣發集團公司向你提供服務時，你可能向以上公司提供有關的資料。

你毋須向任何廣發集團公司提供任何資料，除非你選擇如此做則除外。但是，倘若你不提供任何該等資料，廣發集團公司可能無法為你開立或維持帳戶或向你提供任何服務。

廣發集團公司可使用「曲奇」(cookie)、按跡路徑或其他監察網站使用的方法，透過其網站收集個人資料。如果你不希望從廣發集團公司網站收到「曲奇」，你可把你的瀏覽器設定至拒絕接收「曲奇」，又或設定為在你收到「曲奇」時你會獲得通知，屆時你可以拒絕接收該等「曲奇」。

資料的使用

廣發集團持有的所有關於你的資料，不論是由你或第三方提供的，亦不論是在廣發集團公司為你開立帳戶之前或之後提供的，均可能用作以下用途：

- (a) 帳戶的持續管理，以及向你提供任何證券或期貨交易及相關服務；
- (b) 遵守內部控制或核證程序；
- (c) 進行信貸和其他狀況審查，以及協助其他機構進行上述審查；
- (d) 收取應付予任何廣發集團公司的任何款項，或執行以任何廣發集團公司為受惠人的任何押記或擔保；
- (e) 設計及／或促銷證券或期貨交易及任何廣發集團公司的其他服務或產品；
- (f) 組成獲資料者所經營的業務的記錄一部分；
- (g) 遵從香港或其他有關司法管轄區的任何法律、政府或監管要求，包括任何披露或通知要

求；或

(h) 與廣發集團的業務和交易有關的任何其他用途。

廣發集團公司將在其酌情決定的期間內保留個人資料。

資料的轉移

所有關於你的資料可向以下人士提供，不論該等人士是否身處香港：

(a) 任何廣發集團公司；

(b) 向廣發集團公司的任何成員提供行政、電訊、電腦、付款或證券及期貨交收、代名人、保管人或其他服務的任何代理人、承包商或第三方服務提供者；

(c) 在廣發集團公司作為任何其他人的代理人收集資料時，或廣發集團公司為了轉交予任何其他人或為了向任何其他人申請或要求任何利便措施或服務而收集資料時，則指任何該等人士；該等人士可能不在香港，而且可能不受個人資料（私隱）條例所約束，在資料的使用上亦不受限制；

(d) 任何有關的單位信託或其他集體投資計劃的任何信託人、過戶登記處或保管人，或與廣發集團向你提供或將向你提供的任何服務有關的任何保險公司，或為你持有證券的任何中央證券存管處或過戶登記處；

(e) 信貸諮詢機構及（發生失責時）收數公司；

(f) 廣發集團公司向其轉讓或擬向其轉讓與你在廣發集團公司券開立的任何帳戶或向你提供的服務有關的權益及／或義務的任何人士；或

(g) 任何政府或監管機構的法律、規章、法院指令或要求規定廣發集團公司向其提供上述資料的任何人士。

在不損害上述條文的原則下，與你有關的所有資料可轉到香港以外的任何地點（不論是用作在香港以外處理、持有或使用該等資料），並可轉發給向任何廣發集團公司就其業務經營而提供服務的服務提供者。

資料的查閱和改正

按照個人資料（私隱）條例的規定，你有權：

- (a) 檢查廣發集團公司持有關於你的資料；
- (b) 要求查閱和改正廣發集團公司持有的任何上述資料；
- (c) 查明廣發集團公司的資料政策和慣例，以及獲悉廣發集團公司持有的資料的類別；及
- (d) 就客戶信貸而要求獲悉哪項資料是例行提供予信貸諮詢機構或收數公司，獲提供進一步資料以便查閱和改正向有關的信貸諮詢機構或收數公司提出查閱和改正要求。

廣發集團公司可就處理任何資料查閱要求收取合理費用。

如果你要求查閱或改正資料，或如果你欲取得關於資料的政策和慣例及廣發集團公司持有的資料類別的詳情，你可按以下聯絡點提出要求：

廣發證券(香港)經紀有限公司
資料保障主任
香港德輔道中 189 號李寶椿大廈 29 及 30 樓
(852) 3719 1118

其他規定

在法律許可的範圍內，廣發集團公司概不就你使用本網站提交的任何資料或訊息而對你承擔任何保密義務。

本通知中的任何內容不應限制客戶在個人資料（私隱）條例下的權利。

與互聯網相關的風險

互聯網作為一個數據傳輸媒介，本身存在固有的不可靠和不安全性。因此，網上買賣或透過互聯網進行交易或通訊是有風險的。

尤其是，與本網站的接達及透過本網站提供的服務可能因為高峰期、市場波動、系統故障（包括硬件或軟件故障）、系統升級或維修或因其他原因而隨時及不時受到限制、延誤或未能提供。除此之外：

- 透過互聯網進行的交易可能會受到干擾、出現傳輸中斷，或由於互聯網的通信量而導致傳輸延誤，或由於互聯網的公開性質而發生不正確數據的傳輸。
- 交易指示可能不獲執行，或可能受到延誤，以致執行價格與指示發出時的通行價格不同。
- 未經授權第三方可能獲得通訊及個人資料。
- 你的指示可能不經人手審閱而執行。
- 刊登在本網站的資料未必可以即時更新及未必反映並非透過本網站進行的交易。

對於因廣發集團公司合理控制範圍以外的通訊延誤、故障或不準確性又或缺乏保密性而造成的任何損失或開支，廣發集團公司概不就此向你或任何其他人負責。

證券交易的風險

證券價格有時可能會非常波動。證券價格可升可跌，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。

買賣創業板股份的風險

創業板（「創業板」）股份涉及很高的投資風險。尤其是該等公司可在無需具備盈利往績及無需預測未來盈利的情況下在創業板上市。創業板股份可能非常波動及流通性很低。

你只應在審慎及仔細考慮後，才作出有關的投資決定。創業板市場的較高風險性質及其他

特點，意味著這個市場較適合專業及其他熟悉投資技巧的投資者。

現時有關創業板股份的資料只可以在香港聯合交易所有限公司所操作的互聯網網站上找到。創業板上市公司一般毋須在憲報指定的報章刊登付費公告。

假如你對本風險披露聲明的內容或創業板市場的性質及在創業板買賣的股份所涉風險有不明白之處，應尋求獨立的專業意見。

提供將證券借出予或存放於第三方的授權書的風險

向廣發證券(香港)經紀有限公司(下稱“廣發證券”)提供授權書，容許廣發證券將你的證券借出予或存放於第三方，存在一定風險。該項允許僅限於你已就此給予書面同意的情況下方行有效。

現時並無任何法例規定你必須簽署這些授權書。然而，在某些情況下可能需要授權書，該等情況包括向你提供保證金貸款或獲許將你的證券借出予第三方或作為抵押品存放於第三方。廣發證券應向你闡釋將為何種目的而使用授權書。

倘若你簽署授權書，而你的證券已借出予或存放於第三方，該等第三方將對你的證券具有留置權或作出押記。雖然你的交易商或證券保證金融資人根據該授權書而借出或存放屬於你的證券須對你負責，但上述交易商或證券保證金融資人的失責行為可能會導致你損失你的證券。

廣發證券提供不涉及證券借貸的現金帳戶。假如你毋需使用保證金貸款，或不希望本身證券被借出或遭抵押，則切勿簽署上述的授權書，並應要求在廣發證券開立該等現金帳戶。

交易對手的風險

廣發證券(香港)經紀有限公司是香港聯合交易所有限公司的參與者。倘若廣發證券(香港)經紀有限公司不履行其對你的義務，你有權根據證券及期貨事務監察委員會設立的賠償基金索償，但須依照適用於該賠償基金的條款和條件。

DISCLAIMER

1. The rights in the financial market data, quotes, news, research or other information, including graphic images, provided in this website ("Information") are the property of either GF Securities (HK) Brokerage Limited, GF Futures (HK) Co. Limited, their holding company, their subsidiaries or affiliates or the subsidiaries or affiliates of their holding company (collectively, "GF Group" and each, a "GF Group Company"), their information providers or their licensors and are protected by applicable copyright and other intellectual property laws. The Information may not be tampered with, decompiled, modified, reverse engineered, copied, transmitted, disseminated, sold, distributed, published, broadcast, circulated, stored for subsequent use or commercially exploited in any manner without the prior written consent of GF Group.

2. None of GF Group, its information providers nor its licensors make any representation or warranty of any kind, whether express or implied (by statute or otherwise), regarding this website or the Information. To the fullest extent permitted by law, GF Group, its information providers and its licensors hereby expressly exclude and disclaim any condition, representation, warranty or responsibility of any kind relating to the Information, whether express or implied (by statute or otherwise), including without limitation any such condition, representation, warranty or responsibility regarding the title, fitness for a particular purpose, merchantability or standard of quality of the Information, that they will be accurate or free of errors or omissions, that they will be provided on a timely or sequential basis, that they will not infringe any third party rights, that they will be available and uninterrupted at any particular time, free of computer viruses or adhere to any particular performance standards or that any instruction to or the Information requested from this website will be acted upon, delivered to or received by you at any time or at all.

3. The Information is provided for information only and should not be used as a basis for making business decisions or otherwise be regarded as investment advice or a recommendation to buy or sell or otherwise invest in any particular securities. To the extent any Information is prepared by and originated from GF Group Company, GF Group Company will endeavour to ensure its accuracy but will accept no responsibility or liability for any loss or damage arising from any person acting or refraining from acting in reliance on such Information. The Information and any related advice received via this website should not be relied upon without consulting primary sources of information and obtaining specific professional advice. GF Group, its information providers and its licensors accept no liability for any loss or damage arising directly or indirectly from action taken, or not taken, in reliance on the Information. In particular, no warranty is given that economic reporting information, materials or data is accurate, reliable or up to date.

4. Sites to which there is a link from this website are for information purposes only and have not been reviewed by GF Group. GF Group is not responsible for the contents available on or the set-up of any of the websites

linked to this website. Access to and use of any of these linked web sites is at your own risk and subject to any terms and conditions applicable to such access or use.

5. GF Group, its information providers and its licensors are not liable, whether in tort, contract or otherwise, for any loss or damage (including special, incidental or consequential loss or damage) arising directly or indirectly from your use of this website including any loss, damage or expense arising from, but not limited to, any defect, error, fault, mistake or inaccuracy with this website or its contents, or due to any unavailability of the website or any part thereof or any of its contents.

6. If you download any software from this website, you do so at your own risk. GF Group, its information providers and its licensors neither assume nor accept liability for any loss or damage, howsoever caused and whether direct or indirect, as a result of any computer viruses or similar disruption arising from your use of and/or downloading of software from this website.

7. GF Group may discontinue or make changes in the Information, products or services provided in this website at any time without advance notice to you.

8. This website and the contents hereof do not constitute, and should not be regarded as constituting, an offer or solicitation of an offer to buy, sell or otherwise deal in any security in any jurisdiction to any person to whom it is unlawful to make such offer or solicitation in such jurisdiction.

PRIVACY STATEMENT

Supply of data

You may from time to time supply to GF Securities (HK) Brokerage Limited, GF Futures (HK) Co. Limited, their holding company, subsidiaries or affiliates and/or any subsidiaries or affiliates of their holding company (collectively, "**GF Group**" and each, a "**GF Group Company**") data in connection with the opening or maintenance of accounts with any GF Group Company or the provision of services to you by any GF Group Company.

You are not required to provide any data to any GF Group Company unless you choose to do so. However, if you fail to supply any such data, the GF Group Company may not be able to open or maintain an account for you or provide you with any services.

GF Group may collect personal data through its website by using cookies, clicktrails or other methods of monitoring web usage. If you do not want to receive a cookie from GF Group website, you may set your browser to refuse cookies or to notify you when you receive a cookie, which you may then refuse upon such notice.

Use of the data

All data relating to you held by GF Group, whether supplied by you or a third party and whether before or after any GF Group Company opens any account for you, may be used for the following purposes:

- (a) ongoing account administration and the provision of any securities trading and related services to you;
- (b) compliance with internal control or verification procedures;
- (c) conducting credit and other status checks and assisting other institutions to conduct such checks;
- (d) collection of any sums due or enforcement of any charge or security in favor of any GF Group Company;
- (e) designing and/or marketing securities trading and other services or products of any GF Group Company;
- (f) forming part of the records of the recipient of the data as to the business carried on by it;
- (g) observing any legal, governmental or regulatory requirements of Hong Kong or other relevant jurisdiction including any disclosure or notification requirements; or
- (h) any other purpose relating to the business and dealings of GF Group.

The personal data will be retained by GF Group Company for such period as it may in its discretion determine.

Transfer of data

All data relating to you may be provided to the following persons whether or not they are in Hong Kong:

(a) any GF Group Company;

(b) any agent, contractor or third party service provider who provides administrative, telecommunications, computer, payment or securities clearing, nominee, custodian or other services to any member of GF Group;

(c) where data is collected by a GF Group Company as agent of any other person or for forwarding to or otherwise for the purpose of making any application or request to any other person for any facility or service; any such person who may not be in Hong Kong and may not be subject to the Personal Data (Privacy) Ordinance and not restricted in the use of the data; any trustee, registrar or custodian of any relevant unit trust or other collective investment scheme or any insurance company in connection with any service provided or to be provided to you by GF Group or any centralised securities depository or registrar of securities held for you;

(d) credit reference agencies and, in the event of default, debt collection agencies;

(e) any person to whom GF Group Company transfers or proposes to transfer its interests and/or obligations in respect of;

(f) any of your accounts with GF Group Company or any services provided to you; or

(g) any person to whom GF Group Company is required by law, regulation, court order or request from any governmental or regulatory body to provide such data.

Without prejudice to the foregoing, all data relating to you may be transferred to any place outside Hong Kong, whether for the processing, holding or use of such data outside Hong Kong, and also to service providers which offer services to any GF Group Company in connection with the operation of its business.

Access to and correction of data

In accordance with the Personal Data (Privacy) Ordinance, you have the right to:

(a) check whether GF Group Company holds data about you;

(b) request access to and the correction of any such data held by GF Group Company;

(c) ascertain GF Group Company's policies and practices in relation to data and to be informed of the kind of data

held by GF Group Company; and

(d) in relation to customer credit, request to be informed which items of data are routinely disclosed to credit reference agencies or debt collection agencies, be provided with further information to enable the making of access and correction request to the relevant credit reference agency or debt collection agency.

GF Group Company may charge a reasonable fee for processing any data access request.

You may direct any request for access to or correction of data or for information regarding policies and practices and kinds of data held by GF Group Company to:

GF Securities (HK) Brokerage Limited or GF Futures (HK) Limited

Data Privacy Officer

29-30/F, Li Po Chun Chambers, 189 Des Voeux Road Central, Hong Kong.

Tel: (852) 3719 1118

Miscellaneous

To the extent permitted by law, GF Group Company shall not be under any obligation of confidentiality to you regarding any information or materials which you submit using this website.

Nothing in this notice shall limit the rights of clients under the Personal Data (Privacy) Ordinance.

RISK DISCLOSURE

Risks associated with Internet

The Internet is an inherently unreliable and insecure medium of data transmission. Accordingly, there are risks in trading on-line, conducting transactions or otherwise communicating through the Internet.

In particular, access to this website and the services provided through this website may at any time and from time to time be limited, delayed or unavailable, including during periods of peak demand, market volatility, systemic failures (including hardware or software failures), systems upgrades or maintenance or for other reasons. Without prejudice to the foregoing:

- Transactions conducted through the Internet may be subject to interruption, transmission blackout, delayed transmission due to Internet traffic or incorrect data transmission due to the public nature of the

Internet.

- Instructions to trade may not be executed or may be delayed so that they are executed at prices different from those prevailing at the time the instructions were given.
- Communications and personal data may be accessed by unauthorised third parties.
- Your instructions may be executed without being subject to human review.
- The information posted on the website may not be updated immediately and may not reflect transactions not conducted through the website.

GF Group Company accepts no responsibility to you or anyone else for any loss or expense that may result from delays, failures or inaccuracies in communications, or lack of security, beyond the reasonable control of GF Group Company.

Risk of Securities Trading

The prices of securities fluctuate, sometimes dramatically. The price of a security may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling securities.

Risk of Trading Growth Enterprise Market Stocks

Growth Enterprise Market ("**GEM**") stocks involve a high investment risk. In particular, companies may list on GEM with neither a track record or profitability nor any obligation to forecast future profitability. GEM stocks may be very volatile and illiquid.

You should make the decision to invest only after due and careful consideration. The greater risk profile and other characteristics of GEM mean that it is a market more suited to professional and other sophisticated investors.

Current information on GEM stocks may only be found on the Internet website operated by The Stock Exchange of Hong Kong Limited.

You should seek independent professional advice if you are uncertain of or have not understood any aspect of this risk disclosure statement or the nature and risks involved in trading of GEM stocks.

Risk of providing authority to lend or deposit securities with third parties

There is risk if you provide GF Securities (HK) Brokerage Limited with an authority that allows GF Securities (HK) Brokerage Limited to lend your securities to or deposit them with certain third parties.. This is allowed only if you

consent in writing.

You are not required by any law to sign these authorities. But an authority may be required in certain instances, including to facilitate margin lending to you or to allow your securities to be loaned to or deposited as collateral with third parties. GF Securities (HK) Brokerage Limited will explain to you the purposes for which one of these authorities is to be used.

If you sign one of these authorities and your securities are lent to or deposited with third parties, those third parties will have a lien or charge on your securities. Although your dealer or securities margin financier is responsible to you for your securities lent or deposited under the authority, a default by it could result in the loss of your securities.

A cash account not involving securities borrowing and lending is available from GF Securities (HK) Brokerage Limited. If you do not require margin facilities or do not wish your securities to be lent or pledged, do not sign the above authority and ask to open a cash account with GF Securities (HK) Brokerage Limited instead.

Counterparty risk

GF Securities (HK) Brokerage Limited is a participant of The Stock Exchange of Hong Kong Limited. If GF Securities (HK) Brokerage Limited fails to meet its obligations to you, you have the right to claim under the compensation fund established by Securities and Futures Commission subject to the terms and conditions applicable to the compensation fund.